

## AUDIT AND RISK MANAGEMENT COMMITTEE - Outstanding Actions- February/March 2018 update

<b>Date Added</b>	<b>ITEM</b>	<b>Action</b>	<b>Officer and target date</b>
23.5.2017	<b>INTERNAL AUDIT (GENERAL)</b>	<ol style="list-style-type: none"> <li>1. Action plan to distinguish <u>low, medium and high</u> priority risks and be more outward focussed.</li> <li>2. Chief Officers be invited to attend the Audit and Risk Management Committee where they had not provided an adequate explanation of delayed implementation.</li> <li>3. Audit report recommendations be linked to Chief Officers' objectives at their annual appraisals.</li> </ol>	<p><i>Head of Internal Audit and Risk Management</i></p> <p>1,2 &amp; 3 - On-going</p>
23.5.2017	<b>INTERNAL AUDIT CHARTER</b>	Cyber awareness to be more visible in all Internal Audit Reviews and suggested that this be more explicit in the Charter.	<p><i>Head of Internal Audit and Risk Management</i></p> <p>This will be actioned for all audits going forward. A section will be added to the Charter for the next review by the ARMC.</p>
23.5.2017	<b>HEAD OF INTERNAL AUDIT OPINION AND ANNUAL REPORT 2016/17</b>	<ol style="list-style-type: none"> <li>1. The numbers of greens, ambers and reds would give greater assurance than just stating the number of reds.</li> <li>2. Future opinion reports should include the quality of internal audit and coverage.</li> </ol>	<p><i>Head of Internal Audit and Risk Management</i></p> <p>To be included in the next HoIA Opinion in May 2018.</p>
23.5.2017	<b>INTERNAL AUDIT PLAN 2017/18</b>	<ol style="list-style-type: none"> <li>1. A review of significant areas every 3 years and an indication of the type of work which would be covered over the course of the year.</li> <li>2. Future reviews to include an analysis of efficiency and include resources and training.</li> </ol>	<p><i>Head of Internal Audit and Risk Management</i></p> <p>1. This will be actioned for the next Audit Plan. 2. This will be considered as part of the forthcoming planning process.</p>

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10.10.2017	<b>FIRE SAFETY</b>	The relevant Service Committees are due to receive a full update on this matter at their February Meetings.	Update to the Autumn meeting of the Committee to provide Members with assurance on the recommendations and action plans arising from the risk assessments, on an estate by estate basis, with the focus on amber and red risks.
10.10.2017	<b>ROAD SAFETY (CROSSRAIL)</b>	Members asked for an update before it opens	<i>Director of the Built Environment</i>  At item 10 on the Agenda for 29 May – Crossrail Reinstatement Projects.
29.11.17	<b>STATUTORY OBLIGATIONS IN RESPECT OF SEIZED GOODS</b>	An External Member offered to raise this at the Police Performance and Resource Management Sub Committee on 1 February 2018.	There are some 17 recommendations related to seized goods and most of them are listed as being completed by 31 March 2018. Further progress can be followed up at the next meeting of the Sub Committee on 26 April 2018.
16.1.18	<b>RISK MANAGEMENT UPDATES</b>	For future reports, a new appendix be included identifying new risks, risks where target dates had been missed (or worsened) or been removed from the corporate risk register.	<i>Corporate Risk Advisor</i>  Next Risk Update report due at May meeting.
6.3.18	<b>GENERAL DATA PROTECTION REGULATIONS – ADMINISTRATIVE PRACTICALITIES</b>	The Information Technology Sub Committee on 26 March 2018 to receive a joint report of the Comptroller and exploring further options	<i>City Solicitor and the Chamberlain (IT Director)</i> Update on the new arrangements - May 2018